

I hereby certify that this Information Disclosure Statement is being deposited with the U.S. Postal Service in an Express Mail Envelope, Certificate No. EV291967423US, addressed to Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450 on this 17th day of October, 2003.

John A. Molnar, Jr.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Group:

**STATEMENT UNDER 37 C.F.R. §1.97**

Applicant(s) wish to disclose the following information:

☒ The Applicant(s) wish to make of record the references listed on the attached Form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check is attached in the amount required under 37 CFR § 1.17(p).

#### RELATED CASES

- ☒ Attached is a list of applicant's pending applications or issued patents which are thought to be related to the present application.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

The undersigned certifies that

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

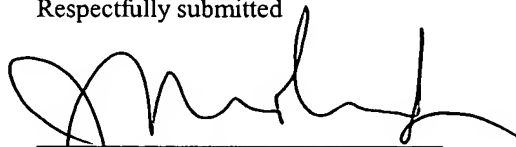
#### PETITION

- ☐ Applicant(s) hereby request consideration of the attached information. A check is attached in the amount of the Petition fee required under 37 CFR §1.17(i)(1).

#### DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to **Deposit Account No. 16-0325**. A duplicate copy of this sheet is enclosed.

Respectfully submitted



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Subst. Form PTO-1449 APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Attorney Docket No. 2802-204-039	Serial No.:
	Applicant: Eugene A. Dianetti, et al	
	Filing Date: Concurrently Herewith	Group:

## U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA	1,512,243	10/21/24	Shrode			
	AB	1,987,948	01/15/35	Smith			
	AC	2,306,768	12/29/42	Wile			
	AD	2,598,187	05/27/52	Meyer			
	AE	2,631,612	03/17/53	Buescher			
	AF	2,786,336	03/26/57	Lange			
	AG	3,450,345	06/17/69	Orth, et al			
	AH	3,537,645	11/02/70	Treder			
	AI	3,667,247	06/06/72	Proctor			
	AJ	3,691,783	09/19/72	Proctor			
	AK	3,699,778	10/24/72	Orth			
	AL	3,738,573	06/12/73	Eschbaugh, et al			
	AM	3,742,722	07/03/73	Leimbach			
	AN	3,810,366	05/14/74	Orth			
	AO	3,979,923	09/14/76	Jennings			
	AP	4,416,416	11/22/83	Malthy			
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	AR	4,542,879	09/24/85	Stein			
	AS	4,815,698	03/28/89	Palmer			
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	AU	4,834,337	05/30/89	Chorkey, et al			
	AV	4,852,364	08/01/89	Seener, et al			
	AW	4,995,742	06/20/78	Schumacher			
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	BB	5,127,237	07/07/92	Sendo, et al			
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	BE	5,228,619	07/20/93	Yano, et al			
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	BL	5,957,376	09/28/99	Fujimoto, et al			
	BM	5,961,038	10/05/99	Okada			

	BN	5,996,899	12/07/99	Watanabe, et al			
	BO	6,223,994	05/01/01	Fukuda, et al			
	BP	6,293,472	09/25/01	Yano			
	BQ	6,560,982	05/13/03	Kobayashi, et al			
	BR	6,626,365	09/30/03	Kobayashi, et			

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	BS	0 537 776A1, B1	12.03.97	EPO			
	BT	0 659 600	28.06.95	EPO			
	BU	0 691 517	10.01.96	EPO			
	BV	831,073	30.05.98	France			
	BW	1,050,101	05.01.94	France			
	BX	5-322381	10.07.93	Japan			Partial
	BY	9-159324	20.06.97	Japan			Partial
	BZ	696	26.02.1898	UK			

OTHER PRIOR ART

	CA	Journal of Nippondenso Technical Disclosure, published 1986.

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.

360/22971ALA.20G

**RELATED CASES**

<b>Serial No.</b>	<b>Inventor</b>	<b>Title</b>	<b>Filing Date</b>
<b>60/419,876</b>	<b>Eugene A. Dianetti Roy J. Nungesser Daniel R. Rice Gary A. Nearpass Cary Haramoto</b>	<b>Refrigeration Expansion Valve with Thermal Mass Power Element</b>	<b>October 18, 2002</b>